

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D. C. 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Akihiko SHIMIZU and Kenya YOKOI

for

Inventor(s)

OPTICAL INFORMATION RECORDING MEDIUM AND MASTERING METHOD THEREFOR

Title of Invention

APPLICATION ELEMENTS ENCLOSED:

1. X Specification (total pages 29 including:
 - a. 6 pages of claims (1-17 claims)
 - b. 1 page(s) Abstract
2. X 18 sheets of X informal formal drawings (Figs. 1A-18B)
3. Oath or declaration of Applicant(s) (3 total pages)
 - a. Newly executed (original or copy)
 - b. Unexecuted
 - c. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Item 14 completed)
4. Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. Incorporation By Reference
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)

APPLICATION PARTS ENCLOSED:

7. An assignment of the invention to (cover sheet & document(s))
8. 37 CFR 3.73(b) Statement (when there is an assignee)
9. Power of Attorney
 - a. Newly executed (original or copy)
 - b. Unexecuted
 - c. Copy from a prior application

09/24/99
Jc604 U.S. PTO

09/24/99
Jc604 U.S. PTO

09/24/99
Jc604 U.S. PTO

10. ___ Information Disclosure Statement (IDS)/PTO-1449

___ Copies of IDS Citations

11. ___ A Preliminary Amendment

12. X Return Receipt Postcard (MPEP 503)

13. ___ A small entity statement under 37 C.F.R. §1.9 and §1.27

___ Statement filed in prior application, status still proper and desired

14. ___ If a Continuing Application, *check the appropriate box and supply the requisite information:*

☐ Continuation of prior application No.: _____ filed _____

☐ Divisional of prior application No.: _____ filed _____

☒ Continuation-in-part (CIP) of prior application No.: 09/140,975 filed August 27, 1998

15. ___ Amend the specification by inserting before the first line of page one: --This is a ___ continuation
___ divisional of application Serial No. _____ filed ____--

16. X Priority of application Nos. 10-269723 and 10-289547 filed in Japan on 9/24/98 and 10/12/98.

Applicants hereby claim priority under 35 U.S.C. § 119.

___ A certified copy of priority application No. _____ is enclosed

___ A certified copy of priority application No. _____ has been filed in prior application

S.N. _____ filed _____


17. ___ Other (identify) _____

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

					Rate			Fee	
	Number Filed		Number Extra*		Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	17 - 20	=	0	x	\$ 9	\$ 18	=	\$	\$
Indep. Claims	3 - 3	=		x	\$ 39	\$ 78	=	\$	\$
Multiple Dependent Claims Presented: Yes ___ No <u>X</u>					\$130	\$260	=	\$	\$ 0
If the difference in column 1 is less than zero, enter "0" in column 2					Basic Fee			\$ 380	\$ 760
					Total Fee				\$ 760

60960 0259060


Ivan S. Kavruk, Reg. No. 25,161
Attorney for Applicants
c/o Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
Tel.: (212) 278-0400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Akihiko SHIMIZU, et al.

Serial No. :

Group Art Unit:

Date Filed : Concurrently Herewith

Examiner:

For : OPTICAL INFORMATION RECORDING MEDIUM
AND MASTERING METHOD THEREFOR

1185 Avenue of the Americas
New York, N.Y. 10036

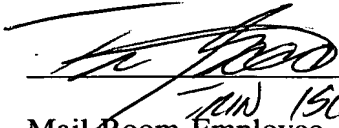
Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

EXPRESS MAIL
LETTER OF TRANSMITTAL

Express Mail mailing label number: EL086015574US

Date of Deposit: September 24, 1999

I hereby certify that the above-identified application (consisting of a 29-page specification (including 6 pages of claims (17 claims) and a 1 page Abstract), and 18 sheets of informal drawings (Figs. 1A-18B)), 3 copies of an Application Transmittal Form (including authorization to charge Deposit Account No. 03-3125 in the amount of \$760 for the filing fee), a certified copy of Japanese priority Application Nos. 10-269723 and 10-289547, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Mail Room Employee
Depositing Express Mail Material

Attorney for Applicant:
IVAN S. KAVRUKOV, Reg. No. 25,161
Cooper & Dunham LLP
Tel: (212) 278-0400

02590160